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Emotional communication in long-term abstained alcoholics

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29Abstract

30**Background:** Alcoholism is associated with difficulties in perceiving emotions through non-
31verbal channels including prosody. The question whether these difficulties persist to long-
32term abstinence has, however, received little attention. **Methods:** In a two-part investigation,
33emotional prosody production was investigated in long-term abstained alcoholics and age-
34and education matched healthy controls. First, participants were asked to produce
35semantically neutral sentences in different emotional tones of voice. Samples were then
36acoustically analyzed. Next, naïve listeners were asked to recognize the emotional intention
37of speakers from a randomly collected subset. Voice quality indicators were also assessed by
38the listeners. **Results:** Findings revealed emotional prosody production differences between
39the two groups. Differences were particularly apparent when looking at pitch use. Alcoholics’
40mean and variability of pitch differed significantly from controls’ use. The use of loudness
41was affected to a lesser extent. Crucially, naïve raters confirmed that the intended emotion
42was more difficult to recognize from exemplars produced by alcoholics. Differences between
43the two groups were also found with regard to voice quality. **Conclusions:** These results
44suggest that emotional communication difficulties can persist long after alcoholics have quit
45drinking.

46**Keywords:** Alcoholism; Social Cognition; Emotional Prosody; Vocal Emotion

47

48**INTRODUCTION**

49 Non-verbal emotion signals form a crucial part of social interactions: we can encode a range
50 of emotional states based on others' use of facial expressions, body postures, or prosody (sometimes
51 referred to as "tone of voice"). Alcoholism is often associated with deficits in processing these kinds
52 of emotional signals. Specifically, recently detoxified alcoholics demonstrate difficulties in perceiving
53 emotions through a range of non-verbal channels including facial expressions (Frigerio et al., 2002;
54 Philippot et al., 1999), body postures (Maurage et al., 2009), and prosody (Monnot et al., 2001;
55 Uekermann et al., 2005). Some research suggests that these perception difficulties are long lasting as
56 they have been found to persist through to mid- and long-term abstinence (Foissey et al., 2007;
57 Kornreich et al., 2001; Valmas et al., 2014).

58 Accurate recognition of emotional signals is, however, only one part of successful social
59 interactions. Properly and authentically *expressing* emotional states is just as important. This is
60 particularly true for *vocal* emotional communication as listeners rely heavily on prosody to make
61 inferences about the speaker's intentions and feelings in cases where verbal messages are ambiguous
62 or lack emotional content (e.g., "I'll see you next week" can be said in a happy, cheerful tone of voice
63 suggesting that the speaker is looking forward to this event, or it can be said in an annoyed, angry tone
64 of voice suggesting quite the opposite). Clearly, both failure to detect and failure to express vocal
65 emotional intentions effectively can lead to interpersonal communication breakdown. However, while
66 an increasing number of studies have tried to describe the role of alcoholism in emotional prosody
67 *perception* (Oscar-Berman et al., 1990; Monnot et al., 2001; Uekermann et al., 2005), research on
68 emotional prosody *production* in alcoholics has been largely neglected. The present investigation aims
69 to start fill this gap in the literature by exploring how long-term abstainers¹ express vocal emotions
70 and, crucially, how these emotional intentions are perceived by naïve listeners. When expressing how
71 we feel, we modulate various acoustic cues, such as fundamental frequency (perceived as pitch),
72 loudness, or tempo. For instance, it has been shown that we increase our mean and range of pitch and

41 Here, we follow conventions in the literature (e.g., Kornreich et al., 2001; Fein et al., 2010)
5 who use the term "long-term" abstainers for individuals who have abstained from alcohol for
6 more than six months.

loudness when expressing anger (as opposed to, for instance, neutral) and we also speak considerably faster when angry. Further acoustic cue profiles are associated with other emotions (for example, when expressing sadness, speakers use a smaller range of pitch and loudness and decrease their speech rate; see Banse & Scherer, 1996). Inadequate acoustic cue use is likely to lead to difficulties in listeners' abilities to recognise how the speaker feels. To the best of our knowledge, there is only one previous study that has focused on the production of vocal emotions in alcoholics. Monnot and colleagues (2003) asked 24 detoxified alcoholics and 15 healthy controls to intone sentences in one of five emotions (happiness, sadness, anger, boredom, surprise) and in a neutral tone. Four researchers were then asked to identify the expressed emotions. Detailed acoustical analyses of produced speech were not provided in this study, limiting our ability to specify how alcoholics might differ in their emotional expressions from healthy controls. Also, judges' exact accuracy rates were not reported, leaving it unclear as to how difficult listeners might find it to recognize emotions expressed through speech from detoxified alcoholics. However, the authors report that pitch was positively linked to how accurately the four judges rated the intended emotion, suggesting that pitch is particularly important when encoding emotional speech in alcoholics. Moreover, this research highlights that adequate pitch variations are key to expressing vocal emotions. Given the lack of information about other acoustic cues used in this sample, it remains unclear which additional parameters listeners relied on when judging emotions expressed by detoxified alcoholics and it is also not possible to comment on potential cue use differences between detoxified alcoholics and controls. Finally, the question of whether a history of alcohol abuse can have long-term effects on emotional prosody production cannot be answered with data from recently detoxified alcoholics. This is, however, an important question to address given evidence that emotional *perception* deficits can still be observed in mid-term to long-term abstainers (e.g., Fein et al., 2010; Foisey et al., 2007; Kornreich et al., 2001; Valmas et al., 2014). Thus, to address these questions, two studies were conducted. Study 1 explored acoustic cue use in emotional prosody production in a sample of long-term abstainers and healthy controls. In particular, we investigated how speakers use pitch, tempo (duration), and loudness to express six basic emotions and neutral to infer whether long-term abstainers use acoustic cues similarly to controls and

speakers described in the wider emotional prosody production literature (e.g., Banse and Scherer, 1996; Paulmann and Uskul, 2014). If emotional prosody cue use is not affected in long-term abstainers, we expect them to show similar acoustic cue use profiles to healthy controls and reports of speakers in the literature; however, if a history of alcohol abuse can impact on emotional prosody production abilities, altered profiles should be expected. Based on evidence reported by Monnot et al. (2003) we specifically expect to find differences between groups with regard to pitch production.

Although descriptions of acoustic parameter use are vital for exploring emotional prosody production in abstained alcoholics, they do not provide a holistic picture. In particular, we need to also assess how speech samples are perceived by naïve listeners. Can they detect which emotion abstainers are trying to express? And, do listeners judge emotional speech samples from abstainers differently to samples spoken by healthy controls? In other words, can we estimate the potential social ramifications for abstained alcoholics? As mentioned before, this part of emotional social interactions has been overlooked in the research community so far. There is, however, limited evidence that couples with one alcoholic member report more difficulties expressing emotions as well as feeling as if their emotions are not understood in contrast to non-alcoholic couples (Philippot et al., 2003). Whether this perceived difficulty can be confirmed experimentally will be tested here. Thus, in Study 2 we explore whether emotional speech produced by abstained alcoholics is recognized with a similar success rate as emotional speech produced by controls when judged by naïve listeners. Crucially, listeners are also asked how much they thought speakers actually *felt* the emotion they tried to express. Moreover, to get a more informed picture about the emotional speech produced, we also explored the role of perceived voice quality in emotional prosody production. Voice quality refers to the characteristics of produced speech and can include features such as how rough, melodic, or nasal a voice sounds. Here, we focused on two qualitatively different voice qualities and asked raters to indicate how “husky” (linked to a rough or strained sounding voice) or “flat” a voice sounds. Latter quality has been linked to abulia, or to being perceived as sounding indifferent. In short, Study 2 reports empirical data which allows exploring how emotional speech samples produced by abstainers and controls are perceived by naïve listeners. If true that abstainers have difficulties expressing

emotions in speech, listeners should find it more difficult to accurately judge emotional utterances from them than those of controls. Also, if true that abstainers' speech is less emotionally expressive and of a different voice quality, we expect to find rating differences between groups. Combined, Studies 1 and 2 will thus allow describing, for the very first time, how a history of alcohol abuse can impact on emotional speech production abilities and how these effects can impact on listeners' judgements about the speakers.

132

133STUDY 1

134MATERIALS AND METHODS

135*Participants*

Fifteen long-term abstained alcoholics and the same number of age and education matched healthy controls were recruited. Independent samples t-tests showed that abstained alcoholics and controls did not differ in age ($t(14)=-.12, p=.903$) and years of education ($t(14)=1.50, p=.154$). Participants in the alcoholic group had a past medical diagnosis and met the DSM-IV criteria for alcohol dependence. Each abstainer had abstained from alcohol for at least one year (range 1-18.1 years). None of them reported having any other addiction in the past (full participant information can be found in Table 1). All participants were right-handed native English speakers. They were recruited via newspaper, radio adverts and leafleting in Alcohol Anonymous and other self-help groups (alcoholics only). Participants gave full informed consent before the start of the experimental session and were financially compensated for their participation. The study was approved by the Ethical Committee of the Science and Health Faculty of the University of Essex.

147

148*Assessments*

We pre-screened participants for depression (Patient Health Questionnaire; PHQ-9, Kroenke et al., 2002) and anxiety (Generalized Anxiety Disorder 7-item (GAD-7), Spitzer et al., 2006). While the two groups did not differ on scores for depression ($t(14)=1.59, p=.134$), the scores for general anxiety disorder differed between groups ($t(14)=-3.65, p=.003$). Abstainers displayed higher general

153 anxiety levels than healthy controls. We did not recruit participants who self-reported use of
 154 psychotropic medication or those who reported a history of diagnosed neurological problems. We also
 155 asked participants to fill out the Revised Life Orientation (LOT-R, Herzberg et al., 2006) monitoring
 156 individuals' differences in generalized optimism versus pessimism.

157

158 - place Table 1 about here -

159

160 *Procedure*

161 All participants were tested individually. Before the start of the emotional speech recording
 162 session, all participants completed the questionnaires listed above. In the main emotional speech
 163 production task, participants were asked to intone 20 semantically neutral sentences (e.g., "*The book*
 164 *was green*") in one of six emotional (angry, disgust, fear, happy, sad and surprised) and a neutral tone
 165 of voice. For baseline recordings, all participants started with the neutral category. After this,
 166 participants were allowed to choose which category to express next. For each emotional category,
 167 participants were presented with written scenarios that represented a situation in which this emotion
 168 would commonly be elicited. In addition, we also asked participants to describe a time when they had
 169 felt that particular emotion in the past. It has been shown that reliving and reacting emotional
 170 situations in this kind of task lead to changes in voice patterns in speakers (e.g., Velten-Technique,
 171 1968). No exemplars of how a specific emotion should sound were given to participants. After the
 172 emotion induction procedure, participants were presented with the list of 20 semantically neutral
 173 sentences. Each participant was asked to repeat each sentence three times in a specific emotion to
 174 ensure clear, artefact- and error-free recordings (only error- and artefact free recordings entered our
 175 statistical analysis). Therefore, each participant produced 420 utterances (6 emotions plus neutral x 20
 176 sentences x 3 repetitions of each sentence). Sentences were recorded with Audacity, using a high-
 177 quality clip-on microphone. The recordings were digitized at a mono, 16 bit, 44,100 Hz sampling rate.
 178 Each testing session lasted approximately 40 minutes.

179

180
181 **RESULTS**
182

183 Acoustic data was analysed using Praat software (Boersma and Weenink, 2013). Parameters
184 of interest were pitch (measured in semitones and calculating the interval between F0 mean and 16.35
185 Hz), amplitude (measured in dB) perceived as loudness, and duration (seconds) perceived as speech
186 rate. We measured pitch on the logarithmic semitone scale as opposed to Hertz to account for
187 potential differences between groups as they slightly differed in their male/female ratio. It has been
188 suggested that there are no measurable differences between genders in pitch variability when
189 expressed in semitones (Traunmüller & Eriksson, 1995; Bird, 2013). Previous findings suggest that
190 differences between neutral and emotional prosody should be between one and five semitones (Lolli,
191 Lewenstein, Basurto, Winnik, Loui, 2015).

192 Table 2 shows means and standard deviations for each extracted parameter for all emotional
193 categories and both groups separately. To investigate whether the two groups used acoustical cues
194 differently, we conducted several Analyses of Variance (ANOVAs) in which *speaker group*
195 (abstainers/controls) was treated as between-subjects variable, *emotion* (anger, disgust, fear,
196 happiness, sadness, surprise and neutral) as within-subjects variable, and each acoustic variable (pitch,
197 duration, amplitude) served as dependent variable.

198

199 - place Table 2 about here -

200

201 *Pitch*

202 Result revealed a significant main effect of *Emotion*, ($F(6,168)= 38.885, p<.001, \eta^2.581$, suggesting
203 that different emotions were expressed using different pitch as expressed in semitones. For instance,
204 surprised was expressed using the highest mean pitch, followed by anger, happiness, fear, disgust and
205 sadness. Neutral utterances were intoned with a lower mean pitch than all emotions (see Table 2).
206 This main effect was qualified by a significant *Speaker Group x Emotion* interaction, $F(6,168)=4$.

207896, $p < .001$, $\eta^2 .149$, confirming that the two groups differed in how they used pitch to express
 208specific emotions. Post-hoc pairwise comparisons revealed that healthy controls used a higher pitch
 209when expressing fear ($p = .024$) and surprise ($p = .030$) when compared to abstainers. We also looked at
 210the effects for each group separately and compared emotional sentence production to neutral sentence
 211production. This analysis indicated that abstainers spoke with an increased mean pitch when
 212expressing anger ($p = .001$), disgust ($p = .023$), happy ($p = .001$) and surprise ($p = .001$), but not when
 213expressing fear ($p = .129$) or sadness ($p = .627$). In contrast, healthy controls expressed all emotions with
 214higher pitch when compared to neutral sentences (all $ps < .001$) except from sadness ($p = .597$).

215 To confirm that pitch use differences were not due to the groups having slightly different male/female
 216ratios, we ran the same analysis for male and female participants separately. Contrasts again confirmed that male
 217abstainers modulated pitch differently when comparing neutral and angry sounding sentences ($p = .001$) as well
 218as neutral and happy sounding expressions ($p = .002$). In contrast, male control participants modulated pitch
 219differently for neutral vs anger ($p = .015$), neutral vs fear ($p = .001$), neutral vs happiness ($p = .007$) and neutral vs.
 220surprise ($p = .001$). Similarly, for female abstainers, only the contrasts between neutral and happiness ($p = .007$)
 221and neutral and surprise ($p = .029$) reached significance, while a range of emotions were uttered with a different
 222pitch than neutral for female controls (anger ($p = .003$), disgust ($p = .001$), fear ($p = .001$), happy ($p = .001$), surprise
 223($p = .001$)). These patterns thus confirm pitch usage differences when expressing emotions by abstained
 224alcoholics compared to healthy controls. ²

225 *Pitch Variability*

226 There was a significant main effect of *Emotion* for pitch variability (standard deviation of pitch as
 227expressed in semitones re: 16.35Hz), $F(6,168) = 19.755$, $p < .001$, $\eta^2 .414$, showing a wider use of pitch
 228when expressing surprise followed by anger and followed by disgust, happiness, fearful and neutral.
 229Utterances intoned in a sad tone of voice showed the smallest pitch variability. There was also a
 230significant main effect for *Speaker Group*, $F(1,28) = 5.595$, $p = .032$, $\eta^2 .153$, showing that healthy
 231controls showed more varied use of pitch than abstainers. The two main effects did not interact. ³

232

233 *Mean Amplitude*

234 Result for mean amplitude only revealed a significant main effect of *Emotion*, $F(6,168)=50.631$,
 235 $p<.001$, $\Omega^2=.64$, showing that angry sentences were spoken in the loudest voice followed by surprise,
 236 happy, fear, disgust and neutral. Sadness was spoken more quietly than all other emotions. No main
 237 effect of *Speaker Group* ($p=.621$) or interaction between *Emotion* x *Speaker Group* ($p=.084$) was
 238 found.

239

240 *Amplitude Range*

241 Results revealed a different amplitude range use for different emotions, $F(6,168)=50.631$, $p<.001$,
 242 $\Omega^2=.69$. As can be seen from Table 2, angry sentences were intoned using a wider amplitude range
 243 than sad sentences. The main effect of *Speaker Group* did not reach significance $p=.093$, $\Omega^2=.10$, but
 244 looking at the amplitude range means revealed that healthy controls tended to use a slightly wider
 245 amplitude range than abstainers (34.18 dB vs 32.35 dB).

246

247 *Utterance Duration*

248 For utterance length, only a main effect of *Emotion* was found, $F(6,168)=5.583$, $p<.001$, $\Omega^2=.75$.
 249 Means showed that fear was spoken with a faster speech rate than disgust (1.35 seconds vs 1.51
 250 seconds).

251 *Leave-one-out Analysis*

252 Following conventions from other fields that report results from relatively small sample sizes, we ran
 253 so-called jackknifing analyses to confirm that the differences in pitch use between groups were not
 254 largely driven by one individual (c.f. Paulmann et al., 2010). We thus re-ran analyses for mean pitch
 255 as well as for pitch variability 14 times, always leaving out one abstainer at the time. F- and p-values
 256 were monitored. Results for the mean semitones analyses showed that statistical findings were stable
 257 for the interaction between speaker group and emotion (all F 's > 4.31) and the main effect of group
 258 (all F 's > 1.5 , all p 's $> .084$). Similarly, results for the analyses looking at the variability of semitones

259revealed stable effects confirming that results were unlikely due to be connected to only one
260individual in the data set.

261

262*Influence of Anxiety on acoustic variable modulation*

263As shown in Table 1, a group comparison revealed that abstainers and controls differed with regard to
264their baseline anxiety levels. Thus, to investigate the potential influence of anxiety scores on acoustic
265measures Pearson's correlations were calculated for the abstainers. No significant correlations were
266found (all p 's > .05), suggesting that anxiety levels did not impact on production of emotions.

267

268Overall, results revealed that participants used different acoustic patterns for the different categories
269expressed mirroring previous results from untrained speakers (e.g., Paulmann et al., 2016). Group
270differences between healthy controls and abstainers were particularly apparent for pitch use. In
271particular, healthy controls used a higher pitch when expressing emotional as opposed to neutral
272prosody while the same pattern was not observed in abstainers. They failed to show a pitch increase
273when expressing fear and sadness. Moreover, healthy controls used a more varied pitch approach than
274abstainers. Finally, healthy controls also appeared to use a wider range of loudness though this effect
275failed to reach significance. Taken together, results showed differences between healthy controls and
276abstainers in modulating pitch parameters when intoning emotional sentences.

277

278**STUDY 2**

279

280 Study 2 set out to explore whether sentences intoned by abstainers and healthy controls in
281Study 1 are perceived differently by naïve listeners. In particular, our goal was to investigate whether
282the emotional intention of speakers could be reliably determined. We also investigated if speakers
283differed with regard to voice quality attributes. In particular, we asked listeners to judge how much
284they felt the expressed emotion, how much they felt the speech sounded husky to them and how much
285it sounded inexpressive, or flat.

286

287 MATERIALS AND METHODS

288 *Participants*

289 A group of 24 (11 male & 13 female) native English speakers were recruited through campus
290 and online advertisement. The listener group had a mean age of 28 (range 19-62) and mean
291 number of years in education was 17 (range 13 - 27). Exclusion criteria included a history of
292 mental health (e.g. depression), neurological problems (e.g. stroke), or a history of substance
293 abuse all of which were measured by self-reporting. None of the participant's self-reported
294 any biological family members who had a known history of substance abuse. The listener
295 group self-reported normal or corrected-to-normal vision, and no hearing impairments.

296

297 *Materials*

298 To avoid bias judgements in the selection of stimuli for the recognition study, a discriminant
299 analysis was first performed to predict emotional category membership of all stimuli
300 collected in Study 1 (c.f. Paulmann et al., 2016 for similar approach). In this analysis,
301 acoustical parameters (pitch, intensity, and duration) were entered as independent variables
302 while the intended emotional category (anger, disgust, fear, happiness, pleasant surprise,
303 sadness, and neutral) served as dependent variables. Results revealed that based on these
304 three acoustic parameters, 29.5% of abstained alcoholics' speech samples and 36.5% of
305 healthy controls' utterances could be classified accurately. From these correctly classified
306 utterances we decided to present 15 sentences for each of the seven categories meaning that
307 10 sentences were randomly selected for Study 2. 105 sentences came from the correctly
308 identified the healthy control group samples and 105 from the abstained alcoholics.

309 *Procedure*

310 Participants were tested individually in booths at the University of Essex. Listeners were first
 311 asked to read and sign a consent form and then fill out a background questionnaire. Before
 312 the start of the study, listeners were informed of the procedure. They were told that they
 313 would be presented with spoken materials on a computer running Superlab software.
 314 Participants were instructed that they would hear utterances spoken by different speakers.
 315 Their first task was to identify the emotional category they believed the speaker was trying to
 316 convey. They were advised to answer as quickly and accurately as possible. On-screen
 317 categories were labelled as “angry”, “disgust”, “fear”, “happy”, “sad”, “surprise”, and
 318 “neutral”. Their second task was to make three assessments about the utterance: First, they
 319 were asked to indicate on a scale from 1 (not at all) to 7 (very much) how much they thought
 320 the speaker sounded as if he/she really felt the emotion, how much they felt the speaker
 321 sounded flat (explained as inexpressive), and how rough/husky the speaker sounded. A trial
 322 sequence was thus as follows: a fixation cross was presented for 200ms followed by the
 323 presentation of the utterance, followed by a seven box response screen. After participants
 324 provided their emotional assessment, they were presented with the three rating scale screens,
 325 which also contained the question at hand. A blank screen was presented for 500 ms as an
 326 inter-stimulus interval. After five practice trials, participants had the chance to ask the
 327 experimenter for help. The main experiment contained a total of 210 utterances which was
 328 divided into seven blocks that consisted of 30 trials each. Each block was followed by a short
 329 break. Testing time lasted around one hour and listeners were compensated £6 for their time.

330

331

332 **RESULTS**

333

334 *Statistical analysis*

335

The statistical package SPSS (version 21) was used to analyze the data. To investigate

336 whether utterances from controls were better recognized than those from abstainers, we conducted a 2

337(*speaker group*) x 7 (*emotion*) within-subjects ANOVA for which listeners' emotion recognition
 338scores served as dependent variable. Rating of voice quality indicators were analyzed with separate
 339within-subjects ANOVAs. All responses were averaged for each participant and emotion before
 340carrying out the analyses. Effect size was measured using omega-square (Ω^2). According to Olejnik
 341and Algina (2003) and treated effect size values between 0.0009 – 0.048 as small, values between
 3420.048 and 0.138 as medium, and values above 0.138 as large.

343

344

345*Emotion recognition accuracy*

346

347 Figure 1 shows mean (and standard deviations, SD) recognition accuracy rates of utterances
 348intoned by abstainers and healthy control speakers for each emotional category separately. Utterances
 349expressed by healthy controls resulted in higher recognition rates for all categories. This was
 350confirmed by the statistical analysis which revealed a main effect of *speaker group*, $F(1,23)=63.838$,
 351 $p<.001$, $\Omega^2=.74$., showing that listeners were more accurate at identifying emotions spoken by healthy
 352controls as opposed to abstainers (42% v 31%). There was also a significant main effect of *emotion*,
 353 $F(6,138)=31.242$, $P<.001$, $\Omega^2=.58$. Neutral prosody was best recognised (53%), followed by utterances
 354intended to express pleasant surprise (52%), sadness (49%), angry (44%), disgust (22%), fear (20%)
 355and happiness (15%). Post-hoc comparisons revealed a significant difference between recognition
 356rates for neutral utterances and utterances spoken in a disgusted, fearful and happy tone of voice (all
 357 $ps<.001$). A significant two-way interaction between *speaker* and *emotion* was also found
 358 $F(6,138)=13.323$, $P<.001$, $\Omega^2=.37$. Looking at each emotion separately, results revealed that listeners
 359were significantly better at identifying utterances expressed in an angry ($p<.001$), fearful ($p<.001$) and
 360surprised ($p<.001$) tone of voice when spoken by healthy controls compared to abstained alcoholics.

361

362

- Place Figure 1 about here -

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363

364*Voice quality: Emotional Expressiveness*

365

366 Results showed a significant main effect of *speaker group*, $F(1,23)=71.143$, $P<.001$, $\Omega^2=.77$.

367 Listener's perceived healthy controls' utterances as more emotionally expressive than abstained

368 alcoholics (4.22 v 3.84). A significant main effect of *emotion*, $F(6,138)=23.877$, $P<.001$, $\Omega^2=.51$,

369 showed that listeners perceived utterances spoken in a surprised tone of voice (4.69) as most

370 expressive and neutral (3.51) utterances were rated as least expressive. Post-hoc comparisons

371 revealed a significant difference between neutral utterances and all other emotional utterances in

372 terms of how much the listeners thought the speaker felt the emotion (all $ps<.01$). Results also

373 revealed a significant *emotion x speaker* interaction, $F(6,138)=6.975$, $p<.001$, $\Omega^2=.03$, showing that

374 utterances expressing anger, disgust, fear, happy or surprised prosody by controls were perceived as

375 sounding more "felt" than the same emotions expressed by abstainers ($p<.001$).

376

377 *Voice quality: Huskiness*

378 The ANOVA revealed a significant main effect of *speaker group*, $F(1,23)=8.095$, $p=.009$,

379 $\Omega^2=.26$. Listeners rated utterances spoken by abstainers as sounding rougher than utterances spoken by

380 healthy controls (3.00 vs. 2.80). There was also a significant main effect of *emotion*, $F(6,138)=9.673$,

381 $p<.00$, $\Omega^2=.30$. Listeners rated sad utterances as sounding most rough or husky (3.24) and surprise

382 utterances as sounding the least rough (2.47). Post-hoc comparisons revealed that rating scores for

383 fear ($p=.016$), happy ($p=.001$), sad ($p=.033$) and surprise ($p=.001$) sentences differed significantly

384 from rating scores for neutral utterances. There was also a significant two-way interaction between

385 *speaker group x emotion*, $F(6,138)= 2.231$, $p=.044$, $\Omega^2=.09$. Post-hoc comparisons by emotion

386 revealed that sentences intoned in angry and neutral tone of voice by abstainers were rated as

387 sounding significantly huskier than those uttered by healthy controls ($p<.05$).

388

389 *Voice quality: Flatness*

390 The analysis revealed a significant main effect of *speaker group*, $F(1,23)=75.362$, $p=.001$,

391 $\Omega^2=.77$. Abstainers' utterances were rated as sounding more flat than those spoken by controls (4.00

392vs. 3.52). A significant main effect of *emotion* also emerged, $F(6,138)=32.956$, $p<.001$, $\Omega^2=.59$. Sad
393utterances were rated as sounding most flat (4.79), while surprised sounding sentences were rates as
394sounding least flat (2.80). Planned pairwise comparisons between neutral and emotional utterances
395showed that all emotions were rated as sounding less flat in comparison to neutrally intoned
396utterances (all $ps<.01$). The *speaker* x *emotion* interaction was also significant, $F(6,138)=7.771$,
397 $p<.001$, $\Omega^2=.25$. Post-hoc comparisons revealed that sentences intoned in an angry, disgust, fearful,
398neutral or surprised tone of voice by abstainers were rated as significantly more flat than utterances
399intoned by healthy controls ($p<.05$).

400

401

402

403 Overall, results of Study 2 showed that listeners blind to the group manipulation assessed

404randomly selected emotional speech exemplars as sounding significantly different. In particular, we

405found that naïve listeners found it harder to accurately recognize the intended emotions when uttered

406by abstainers in comparison to those intoned by healthy controls. Listeners also perceived exemplars

407spoken by abstainers to sound less emotionally expressive, more flat and rougher sounding than

408speech produced by healthy controls.

409

410**GENERAL DISCUSSION**

411

412

413The present investigation explored emotional vocal expressions in long-term abstinent alcoholics. In

414Study 1, it was shown that abstinent alcoholics control mean and variability of pitch differently than

415healthy controls when communicating emotions through tone of voice. In Study 2, it was shown that

416naïve listeners judged randomly selected samples spoken by abstainers as sounding less emotionally

417expressive than samples produced by controls. Crucially, the emotional intentions of abstainers were

418also more difficult to recognize. Taken together, these results suggest that emotional prosody

419production problems associated with alcoholism can persist even after individuals have (long) stopped

420drinking.

421

422 *Emotional Prosody Production Differences*

423 The data reported here uniquely lend empirical support to the notion that a history of alcohol abuse
424 can have long term effects on emotional tone of voice production. The most prominent difference
425 between long-term abstinent alcoholics and the control group was the way that mean and variability of
426 pitch was modulated when trying to express an emotion. Abstainers did not increase pitch when
427 expressing fear or sadness; moreover, the results also confirmed that controls generally used a more
428 varied pitch than abstinent alcoholics. The adequate modulation of pitch has repeatedly been shown to
429 play a vital role in communicating emotions through speech (Frick, 1985; Monnot et al., 2003;
430 Scherer, 2003; Scherer et al., 1972). In fact, low or monotonic pitch has been linked to depressive
431 speech, suggesting lacking affect (e.g., Moore et al., 2004). The results here suggest that although
432 abstinent alcoholics alter their pitch when expressing emotions, they do so less effectively than
433 controls. Thus, our data provide evidence that dry alcoholics' pitch production differs from "normal"
434 usage, suggesting a limited ability to express emotional prosody in these individuals. This is in line
435 with results reported for recently detoxified alcoholics (Monnot et al., 2003). Several accounts may
436 explain this production difference: First, it has been shown that alcoholism can lead to severe right
437 hemisphere brain changes (see Oscar-Berman & Marinkovic, 2003, for review). Interestingly, pitch-
438 related processes have repeatedly been linked to right hemisphere brain structures (e.g., Sidtis and Van
439 Lancker Sidtis, 2003) and lack of pitch control has been reported for patients with right hemisphere
440 brain lesions (Ross & Monnot, 2008; Shapiro & Danly, 1985). Similarly, alcohol-related brain
441 changes have also been linked to the frontal lobes, limbic system, and the cerebellum (Oscar-Berman
442 & Marinkovic, 2003), often seen as key players in an emotional prosody network (c.f. Kotz &
443 Paulmann, 2011). Thus, it can be speculated that alcohol-related brain changes contribute to the
444 effects observed here. Moreover, the role of the cerebellum has been tied to motor co-ordination and
445 control over vocal tract muscles involved in pitch production in particular (Ackermann, Mathiak,
446 Riecker, 2007). Interestingly, cerebellar dysfunctions have additionally been shown to lead to harsh
447 sounding voice quality (Darley, Aronson, Brown, 1975), a phenomenon observed here, too. Finally,

problems in expressing emotional prosody might also be linked to physical alterations of the vocal apparatus caused by heavy drinking. For instance, alcohol consumption can lead to inflammation of laryngeal mucosa which can affect vocal fold vibration patterns. This alteration may influence both pitch production as well as voice quality (e.g., making the voice sound harsh; c.f. Kreiman & Sidtis, 2013). Similarly, some research suggests a strong link between smoking and alcoholism (e.g., Difranza and Gurrera, 1990) and voice production mechanisms are altered by smoking (e.g., Aronson and Bless, 2009). Future studies should thus aim to control for smoking history of participants. It is beyond the scope of the present investigation to pinpoint the underlying mechanisms of the pitch production differences between alcoholics and controls but the accounts summarized here merit testing in future studies.

458

459 *Perception of Emotional Prosody*

The first part of this investigation suggested that abstinent alcoholics can fail to properly control and execute their vocal apparatus leading to fluctuations in pitch use. Timing and loudness control was not affected as prominently. While differences in production are meaningful to explore in their own right, the more pressing question is whether the inability to use pitch adequately could actually lead to difficulties in listeners recognising the intended emotion. Arguably, not controlling and modulating pitch cues appropriately could lead to production of less “stereo-typical” emotion exemplars; in other words, making it more difficult for listeners to gauge the emotional intention. This was directly tested in Study 2.

Study 2 used the materials produced in Study 1. Acoustic analyses of these materials confirmed that different emotional expressions were characterized by varying acoustic profiles (c.f. Table 1) which for the most part mirrored those observed in previous studies using acted speech (e.g., Banse & Scherer, 1996; Paulmann & Uskul, 2014). Not surprisingly, recognition rates for emotional exemplars obtained here were largely lower than recognition rates obtained for materials intoned by actors (e.g., Banse and Scherer, 1996), but they were still above chance level (14%) and resembled recognition rates reported for materials spoken by untrained speakers (e.g., Paulmann et al., 2016).

Exemplars were initially selected based on a discriminant analysis and only materials that were correctly identified by this analysis were used in Study 2. Still, results suggest that naïve listeners found it generally more difficult to decode emotions from abstainers' speech compared to utterances produced by controls. In particular, results suggest that emotional utterances expressing anger, fear, or surprise were most difficult to recognize when intoned by abstainers. Generally speaking, these emotions are also those expressed with *higher* pitch than neutral expressions. Thus, combined results suggest that inadequate use of pitch when expressing emotions in speech may lead to a failure in the listener to detect the intended emotion. Clearly, a difficulty in deciphering what a speaker is trying to express can potentially lead to social misunderstandings or possibly interaction breakdowns.

Next to finding it more difficult to judge the emotionality of speech produced by abstainers when compared to controls, listeners also judged speech samples differently on a variety of dimensions linked to the perception of voice quality. In particular, abstainers' utterances were rated as sounding huskier, more flat and, crucially, less emotionally expressive. Latter finding, that is the fact that abstainers speech was perceived as less emotionally expressive might again be linked to the differences in pitch (and possibly intensity) variability modulations observed in Study 1. It also directly links with the result that abstainers' emotional speech is more difficult to recognize. As discussed above, several explanations to account for voice quality differences seem plausible; however, cerebellar dysfunctions as well as changes of the mucosa lining the larynx seem to be among the most likely candidates at this point. Taken together, the present findings, for the first time, highlight how a history of alcohol abuse can affect emotional tone of voice production in the long-term. We also showed that the expressive differences between abstainers and controls has effects on naïve listeners, leading to lower recognition rates, lower emotional expressiveness scores and higher ratings of harshness and flatness of the voice.

498

499 *Future Directions*

To the best of our knowledge, this is the first investigation exploring the *long-term* effects of alcohol abuse on communicating emotions through the tone of voice. An inability to express emotions vocally

can have severe impacts on social interactions. Knowing more about which factors contribute to abstainers' problems in conveying emotions non-verbally can potentially help to develop strategies that target how emotional tone of voice use can be improved in affected individuals. Here, we explored acoustic parameters which have long been known to play a prominent role in successful emotional prosody production. Analyses revealed that abstainers and controls differed with regard to their pitch use, while durational parameters (speech rate) seemed to be unaffected. A more detailed picture of which other parameters (e.g., frequency bands) are used differently will lead to a broader understanding of why emotional speech of abstainers lacks emotional expressiveness and is considered to be more difficult to recognize than speech by controls.

For therapeutic purposes, it will be important to explore whether observed pitch use differences stem from an inability to fully control the vocal apparatus (e.g., caused by brain damage to areas linked to motor control and/or emotional prosody processes), or through damage to the vocal folds or muscles surrounding them (Aronson and Bless, 2009). Ideally this will include a combination of neuroimaging and vocal production techniques that allow studying the mechanisms underlying emotional prosody production difficulties in alcoholics more systematically.

Finally, the current study tested eight female and seven male speakers who had abstained from drinking alcohol for at least one year. Future studies should try to determine in how far gender and length of abstaining can play a moderating role in emotional speech communication by testing larger sample sizes and including abstaining length as a co-variate in the analysis.

521

522 *Conclusion*

The ability to communicate emotions through voice is an important and necessary aspect of social relationships. In fact, prosody has been self-reported as the most common method of distinguishing emotions in real-life situations (Planalp, 1998). Knowing more about the long-term effects of alcohol abuse in emotional prosody production is thus crucial for abstainers to help with their interpersonal communication. If abstinent alcoholics and those with no alcohol abuse history differ in the way they express emotions in speech, it may be necessary to create social skills training

25 **NOTE: THIS VERSION MAY NOT BE IDENTICAL TO THE PUBLISHED VERSION**

529 programs that help mitigate conflicts between different parties before they blow out of proportion.

530 The current investigation provides a first step in trying to understand how abstainers' differ in

531 emotional tone of voice production and the effect that this has on listeners. Clearly, future work is

532 needed to fully unravel the underlying mechanisms of this usage difference.

533

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635

636 **Figure Legend**

637 **Figure 1:** Accuracy (%) of mean emotional recognition responses for each speaker group.

638 Bars show correct responses for each emotional category (error bars represent
639 standard deviations).

640

641Table 1: Demographic and patient information for participants (mean, SD)

642N/A = not applicable; **= difference between was significant at $p<.05$; Scores 0-5 for the GAD-7 represent

643mild

Variable	Abstained Alcoholics	Healthy Controls
Sex (F/M)	5/10	8/7
Age NS	51.87 (12.98)	51.27 (13.32)
Age Range	33 to 76	35 to 76
Education (in years)	13.91 (3.42)	15.8 (3.56)
Disease duration (in years)	13.7 (7.55)	N/A
Disease duration range (in years)	5 to 27	N/A
Abstinence duration (in years)	9 (9.10)	N/A
Abstinence range (in years)	1 to 18.1	N/A
Number of alcoholic drinks per week	N/A	2.33 (3.2)
GAD-7 **	6.73 (4.53)**	2.6 (3.6)**
PHQ-9 NS	4.93 (3.61)	3.07 (2.66)
LOT-R NS	13.33 (5.01)	15.07 (4.25)

644anxiety, 6-10 moderate, 11-15 moderately severe anxiety, 16-21 severe anxiety. PHQ-9 scores from 0-5

645represents mild depression, 6-10 moderate depression, 11-15 moderately severe depression, 16-21 severe

646depression. A score of over 7 on the GAD-7 represents clinical anxiety and over 9 on the PHQ-9 clinical

647depression. For the LOT-R higher scores represent higher optimism. The number of years of education for each

648group was worked out from the number of completed years in education from primary school.

649

Table 2. Means (SD) for each acoustic variable displayed per group. Originally, pitch was measured in Hertz and then converted using praat's function "convert Hz to semitones" using the formula $12 \cdot \log_2(F0_{\text{mean}}/16.35)$. Duration was measured in seconds and amplitude in decibel.

653

654

655Group	Emotion	Log F0 (SD)	Pitch range variability (SD)	Mean amplitude (SD)	Amplitude range (SD)	Utterance duration
656AA	Anger	839.33 68 (3.83)	311.97 01 (34.382)	68.55 (1.38)	34.27 (1.05)	1.46 (.05)
657	Disgust	6.6538.00 (4.19)	2.469.73 (5.275)	61.68 (1.12)	33.10 (.85)	1.46 (.07)
658	Fear	6.4537.80 (4.49)	-3.633.43 (7.667.62)	62.28 (1.34)	31.18 (.94)	1.35 (.04)
	Happiness	8.0339.38 (5.10)	2.7910.65 (6.166.56)	64.40 (1.09)	33.25 (.89)	1.46 (.05)
	Neutral	5.4036.75 (4.03)	-2.094.85 (8.629.11)	59.40 (.88)	31.04 (.82)	1.37 (.05)
	Sadness	5.2236.58 (4.03)	-2.304.67 (8.5517)	57.98 (.96)	30.66 (.95)	1.46 (.04)
	Surprise	9.4340.78 (5.27)	1312.6947 (3.804.98)	65.71 (1.27)	32.91 (1.05)	1.38 (.06)
HC	Anger	9.89 41.36 (5.52)	714.20 67 (5.66.84)	66.49 (1.38)	36.67 (1.05)	1.47 (.05)
	Disgust	9.0040.36 (4.95)	7.8115.24 (8.2342)	60.83 (1.12)	36.35 (.85)	1.55 (.07)
	Fear	10.7642.21 (5.8060)	1.379.44 (7.067.33)	64.26 (1.33)	32.58 (.94)	1.34 (.04)
	Happiness	39.5638 (5.3214)	4.4212.25 (6.7063)	62.66 (1.09)	34.37 (.89)	1.47 (.05)
	Neutral	6.2637.97 (3.99)	0.457.80 (6.8665)	58.09 (.88)	33.47 (.82)	1.47 (.05)
	Sadness	36.528.16 (4.47)	1.227.76 (6.907.14)	56.83 (.96)	31.94 (.95)	1.45 (.04)
	Surprise	1438.16.17 (4.47)	16.1220.11 (26.6463)	66.15 (1.27)	33.90 (1.05)	1.43 (.06)